(In robing room; jury not present)

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THE COURT: What now? It's a little messy if we're doing it in the courtroom and we go in and out, and they're wondering what's going on.

MS. FARKAS: Of course.

THE COURT: And here, it really sort of gets settled, and you come out when you've had a majestic conference.

MS. FARKAS: Basically, to cut to the chase, we got new slides last night around midnight for Dr. Stewart's testimony.

THE COURT: That's early.

MS. FARKAS: I guess it was before midnight, with no explanation as to what has been changed, whether new slides have been added.

As you point out, before we call the jury in and make it a majestic moment, we wanted to bring it to your Honor's attention. To the extent that there are new slides, we would like to know that now. To the extent that there have been changes in the slides, we'd like to know that as well.

THE COURT: Sure. Which are the new ones?

MR. FRANK: There aren't any new ones, your Honor. What has changed is our expert last night, just to add to the slide. If you look at each of the slides, there is an attribution, a citation to a source at the bottom. And he's added those. He's augmented the sources for them. The

1 | can cross-examine him on that.

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But to make changes to one slide -- because they're analyzing it in different ways, if they say, well, that was on one slide and it wasn't on another slide, it makes a difference to us. It's a substantive difference, and he's now making changes to his different analyses.

MR. GOLDSMITH: As I pointed out, there are changes. You stated there were no changes. You seem to not be aware yourself of the changes. We need to know if there are any other changes.

MR. FRANK: I see what he did here. First of all, I did say there were changes. He changed the sources. At the very, very bottom of the thing, he changed these on the exhibits to identify what the source is to give them more information.

What they're complaining about is this, these two in parenthesis. I see what he did. He's always had this passing tone. He's just put these two 2 notes to demonstrate what he's been testifying to. It's the same opinion he's had, but he's just depicting it differently. But it's the same opinion. He just put them into parenthesis to show that they're passing tones. That's all he did.

THE COURT: But if they're not the same numbers, then they indicate different tones.

MR. FRANK: They are the same numbers.

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MS. FARKAS: They weren't in the slide before midnight last night. We can talk about the significance of passing tones. That's not the point. The point is there have been changes in the slides and we don't know which slides have been changed.

Mr. Frank came in here and said, no. No. It's just the sources. He then came in here and tried to make it a nonpoint. The hundred slides that support Dr. Stewart's analyses we saw for the first time a week ago. These are new analyses. We'll deal with that I guess on cross.

But this is brand new analyses, brand new transcriptions, that have never been in this case in six years.

Okay. They changed them before Monday's exercise when he got on the stand. okay.

Now they've changed it again, midnight in the middle of his testimony. We're simply asking to know what he changed. If Mr. Frank is unable to walk us through what has been changed, we're concerned about that.

THE COURT: Mr. Crump, what do you think?

MR. CRUMP: I remember yesterday, Judge, in court them offering emails. I noticed them. I had no problem with them because I genuinely believe in everything going in. The jury can sort stuff out. They can cross.

That's how I felt, yesterday, what he did. I could cross on it. Genuinely I want them to try their case. We want

that point ask him, were there any changes on this slide from

the ones you gave us before, any changes at all. And let's get his answer on the record.

MR. GOLDSMITH: Are you suggesting during cross-examination?

THE COURT: I don't think so traditionally. "By

Mr. Crump." We're trying a case. I'll give you the right

to -- I don't know what it's called. It's called an

interruption. You can call it "Preliminary Cross-examination"

if you wish.

When he starts dealing with a slide, I'll let you ask him the question, is there any change from this slide that you gave us last night from the previous slide. And you can do it on each one. They may get the idea that there is some sort of linked changes in this case, a moving target, which we've had, God know, for six months.

MR. GOLDSMITH: While we're all here, there is a separate issue on the demonstratives that we wanted to address. So it's slide 23. We still have a continuing objection which we made during Monday's appearance.

It references Dr. Ferrera's, our expert, undated report from 2015. It was actually offered before he was engaged as an expert in this case. It was offered when he was a consultant on behalf of Sony. At that time, he was analyzing the "Let's Get It On" recording by Marvin Gaye and not the sheet music.

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There's an opinion that they're trying to put on the screen from that report that addresses on the harmonic rhythm in the recording, the Marvin Gaye recording, which Dr. Ferrera has opined is different from the harmonic rhythm in the sheet music.

So this slide is both irrelevant and highly prejudicial because it analyzes the Marvin Gaye recording and not the sheet music. So we would ask that the portion of this slide that I've underlined be whited out or deleted because this portion relies on the recording. The first part that I bracketed is okay because it's the same in both the recording and the sheet music.

THE COURT: The bracketed part says: "Both songs use a similar (but not identical) chord progression."

MR. GOLDSMITH: We're okay with that. The rest we would --

THE COURT: And it then proceeds -- and this is the objected-to portion: "In the same harmonic rhythm of two chords per bar in which the second and fourth chords are anticipated, i.e., may occur on the second half of the two."

MR. GOLDSMITH: We don't object to that graphic. That graphic is okay. It's just the text.

THE COURT: I certainly recognize Dr. Stewart's handiwork.

How do you think this part of the testimony affects

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MR. GOLDSMITH: If I may respond very briefly to that,

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Is that true? And the statements in it wouldn't even be made.

MR. FRANK: I would respectfully object, your Honor.

THE COURT: I'm not ordering you to have a voir dire

In the middle of a trial, that is a way of handling the

recording. Your point before was very on point.

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stranger, basically a stranger, in the case.

So yesterday morning, they put you in to make the 1 2 opening statement and examine the witness. And I thought that 3 was a rather adroit and absolutely justifiable curing of the 4 gap that I had foreseen at the end of the conference. 5 As far as I'm concerned, you can do whatever you want. 6 You're fully on board. 7 MR. CRUMP: Thank you very much, your Honor. 8 THE COURT: And your behavior, so far, there's been 9 nothing to criticize. 10 MR. CRUMP: Thank you. I just wanted to preface that 11 before you. 12 THE COURT: Feel free to raise -- personal feelings 13 are one more terrible burden when you're busy trying a case, 14 and the lawyer shouldn't have to perform under that burden. 15 Feel clear to bring it up. We're all human beings. 16 MR. CRUMP: Thank you so much, your Honor. 17 MR. FRANK: Thank you, your Honor. 18 19 20 21 22 2.3 24

THE COURT: Dr. Stewart, you're still under oath.

THE WITNESS: Yes, your Honor.

BY MR. FRANK:

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Q. When we left off yesterday, Dr. Stewart, at 5:00, I believe we were at slide 13.

Could you explain what's embedded on that slide, please.

A. Again, I apologize for some of you who may have musical training. But in my classes, where I teach musical history, there is a wide spectrum of music experienced and knowledge. And it helps put us on the same page in terms of how we use these terms.

So this is an important concept in terms of analyzing music for comparison. And I believe that all musicologists who do this kind of use this system. And that's assigning Arabic numerals or these 7 integer, 8 integer to each pitch that enables us to compare melodies across different songs without worrying about what key they're in because all these relationships remain the same, regardless of the key.

So probably everybody's familiar with the solfege syllables: "Do-re-mi-fa-so-la-ti-do." This is basically just another way of using numbers: 1, 2, 3, 4, 5, 6, 7, 8.

So most of the time, we're going to be using these numerals or integers to describe the melody we're talking about. So if you want to play the example, it's just a simple

when you reach that pitch. So if you're going up the scale

from 1 to 7 and you stop at 7, if I may to the piano for

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demonstration.

Most people will want to hear one more pitch after that. That gives them a sense of resolution. that's because we're ending on the topic or the keynote of the piece. That last piece is called the keynote because it leads us back to the topic or back to the 1. Notice that 1 and 8 are going to be the same sound because they're both expressions of the topic or the pitch class.

The deposit copy of "Let's Get It On" is in the key of E flat. And the studio recording of "Thinking Out Loud" is in the key of D. These are two keys that would be as closest together as can be. In western music, they're only a half step apart, E flat and D.

We're going to be looking at "Let's Get It On" in the key of D, the same key as "Thinking Out Loud" for comparison.

I think the other musicologist did the same thing. It's just a way of comparing them. When you do that, all the musical relationships remain the same. Nothing is changed in terms of the composition.

- Q. Dr. Stewart, can you tell us what chords are.
- A. We've been talking about horizontal expression, musical expression, with melodies and scales. We also have vertical considerations which would be musical simultaneities can form harmonies or chords.

The most basic form in western music is a triad, and it is composed of the first, third, and fifth note of the

harmonized a major scale.

What's significant here is that we also use numbers in another way to analyze the music. And we use Roman numerals to talk about the harmony. Remember Arabic numerals were for the melodic pitches, the linear or horizontal.

For the chords or the vertical sounds, we use Roman numeral to indicate what the root of the chord is. So the chord built on the first degree of the scale uses the I because it's major. So upper case Roman numerals indicate major.

Lower case Roman numerals indicate minor.

So a harmonized major scale begins with a major triad and then a minor triad. And on 3 -- not in every triad,

Notice that the Roman numerals are in lower case because it's minor.

And then a 4 chord on the 4th degree of the scale, IV, and V for the chord built on the 5th degree of the scale.

We're not going really go beyond that now because the harmonies that we're dealing with in this case are basically the chord built on the first degree of the scale, which would be I, IV, and V. So let's hear the chords though of the harmonized major scale. Those are the three principal chords that we're dealing with.

(Audio played)

BY MR. FRANK:

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Q. I think you've indicated, Dr. Stewart, that you've transposed the two songs at issue in this litigation into D

1 | major?

2 A. Well, we transposed one of them, the "Let's Get It On,"

3 | from E flat to D. "Thinking Out Loud" was in D. So I thought

4 | it would be helpful now to look at the pitches in the key of D.

It's the same idea, 1 through 8. So here is the scale in the

6 key of D.

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(Audio played)

THE WITNESS: So the pitches in green are the topic or the keynotes, 1 and 8. So 8 is really the same sound as 1.

BY MR. FRANK:

- Q. Dr. Stewart, could you speak to the distinction in major and minor chords.
- A. Yes. The difference is just in a single pitch. The third degree of the scale or the third in the chord is a half step lower. So in the key of D, D major as an F sharp which is the third note of the triad.

And D minor has an F natural. Remember a natural cancels out a sharp or a flat. We also see two ways of representing the harmony here. We have a D above the chord, above the triad.

It doesn't have anything following it, no dash or anything. That is understood to be major. The D with the dash after it indicates that it's minor, D minor. We also again have the Roman numerals. The upper case Roman numeral means it's major. The lower case means it's minor. Let's listen to

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chords per bar in which the second and third chords are anticipated. We're going to look closely at this because it's an important point in the songs.

Now, he has an error here -- I think's just probably typographical because in other places, he describes the harmony 1 | how those two cord progressions vary, if at all.

A. Yes. Please go to the next slide.

So on this slide, I've circled in green the notes that are different between these two chord progressions. So you'll see that one note in the second chord of "Let's Get It On" is different. It's circled. In "Thinking Out Loud," that note is different. It's up a half step to D.

In "Let's Get It On," there's also an additional note on the fourth chord which is the 7th. The difference between these two is not really articulated in "Thinking Out Loud" during the first 24 seconds or the first verse of "Thinking Out Loud" because that one pitch on the second chord is not sounded at all.

It's only two pitches played on the second chord on the recording, F sharp and A. So it really kind of defines more clearly F sharp minor. You could say that essentially the second chord is the same for those first 24 seconds.

So even this very, very small difference between the two doesn't exist during that whole opening section of "Thinking Out Loud."

Q. Dr. Stewart, if you would, with respect to the scenario you just spoke to, would you identify and point to the note that you're talking about that doesn't exist in the first 24 seconds.

A. Sure.

- 1 | Q. Maybe in the next slide?
- 2 A. Yes. So this note here, the top note, is not present
- 3 during that whole opening section. So it really sounds -- at
- 4 | that point, it really would just as easily be three, lower case
- 5 Roman numeral, which would make it the same as "Let's Get It
- 6 | On."
- 7 Q. Thank you.
- 8 A. Let's play -- no. We don't need to play this again. I
- 9 | think we've played it several times.
- 10 Let's go to the next slide.
- 11 Q. Can you describe harmonic rhythm. You've mentioned it
- 12 | earlier in your testimony.
- 13 | A. So just as melody with a successions of pitches in musical
- 14 | time, chords are also occurring in musical time. So when we
- 15 | talk about harmonic rhythm, we're talking about rhythm that the
- 16 chords that are played. So it's basically the rate of change
- 17 | of the chords, but it's also where they're placed rhythmically.
- 18 | Q. Could you demonstrate how that plays out with regard to the
- 19 | two songs.
- 20 | A. Sure. I think the next slide give -- yes. So one of the
- 21 | things that makes this progression particularly interesting and
- 22 | aesthetically pleasing is the anticipation of the second and
- 23 | fourth chords in both of these songs.
- They're anticipated, meaning that they're played
- 25 | slightly ahead of the third beat. They anticipate the third

- 1 | beat. When we heard them in the last example, the chords were
- 2 | squarely on 1 and 3 -- 1, 2, 3, 4; 1, 2, 3, 4. Now we're going
- 3 | to hear the second and fourth chords are anticipated. So it's
- 4 | 1, 2, 3, 4; 1, 2, 3, 4. Let's listen to it with this
- 5 anticipation.

- (Audio played)
- 7 | THE WITNESS: So I think that really propels the music
- 8 | forward and gives it a lot of rhythmic interest. It's a very
- 9 | significant part of the foundation of both of these songs.
- 10 BY MR. FRANK:
- 11 | Q. Have you had occasion to analyze the defendants' analysis
- 12 | as it relates to harmonic rhythm?
- 13 | A. Yes, I have.
- 14  $\parallel$  Q. Would that slide depict what the analysis is?
- 15 A. Yes. So the defendants' musicologist provided this
- 16 | analysis that shows the basic harmony in the deposit copy of
- 17 | "Let's Get It On," which is the top line there. And then he's
- 18 given five he found in "Thinking Out Loud." "TOL" stands for
- 19 | "Thinking Out Loud."
- 20 | Q. Dr. Stewart, if you could go back for a moment. I think
- 21 | you used the term "deposit copy."
- 22 Can you explain for the Court again, if you haven't
- 23 | already, what that means and what that is.
- 24 A. Sure. So when a piece is registered for copyright, a copy
- 25 | has to be submitted to the U.S. Copyright Office as part of the

Fosterdale, New York, which I think Mr. Townsend was having

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- 20 21 copy, that you reviewed in connection with your analysis?
- 22 I asked for this practically at the inception of my Yes. 23 engagement to do this analysis of these two songs.
  - Do you have samples of the deposit copy?
- 25 Α. Sorry?

- Q. Do you have audio samples of the deposit copy depicted there?
- A. Yes. So what I've done here is taken the deposit copy

  music notation and put it in Sibelius, which is a music

  notation software. With that software, you can also play back.

  It gives you the example as a MIDI file, which is a musical

  instrument digital interface. Basically, it will then sound

  out the notes that you put into Sibelius. So that gives us the

  ability to hear this next phrase.

What I've done here -- this is kind of an important point -- is to show that if you take the note values and cut them in half, but you also cut the tempo in half. There is no change to the way it sounds. It sounds absolutely identical to what you hear each time. And I think this slide will illustrate that dramatically.

(Audio played)

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THE WITNESS: That sounds the same because it was the same example. Let's play the second one.

(Audio played)

THE WITNESS: Could we try that one more time, one followed by two.

(Audio played)

THE WITNESS: So you may not remember that the tempo is indicated by the BPM or beats per minute. So in the upper left corner of each of those examples, you have a quarter note

beats exactly the same way in both songs.

The internal relationships of the chords remains the same. The rhythm and duration of the chords remains the same, even when we do this procedure of cutting the tempo in half and cutting the values in half.

It's really like the same thing as putting the two songs in the same key for comparison. We would do the same procedure with the rhythms, if we're going to compare them. That's exactly what he did, as well as I, in most of his analysis.

Let's go to the next slide.

So we go back to the deposit copy here. I circle -- I put in green the first four chords of "Let's Get It On" in the key of E flat. It's E flat, G minor, A flat, B flat. And then just to distinguish them, the next four chords, in blue: E flat, G minor, A flat, B flat 7. Then they have four chords again and so on.

So I think it's very clear that there are just four chords. And the way they're placed here in the measures — remember the measures are delineating the time. It's clear that the second chord is anticipated because it comes earlier.

It's not after the bar line. The same with the fourth chord. So we have the same harmonic rhythm, four chords with the second and fourth chords being anticipated. The basic analysis, once again, is: I iii IV and V with a 7th added.

That's all indicated precisely in this deposit copy.

We could I think go to the next slide.

MR. FRANK: If you would, Dr. Stewart, for the purpose of the Court's edification, could you just kind of explain the methodology about how you created these particular graphs.

THE WITNESS: So this represents graphically the harmonic rhythm and makes it kind of easier for anyone who doesn't read music. And, again, it's really illustrating the point I was talking about with the tempo doubled and the note values doubled. It's still the same thing.

So if we count at the slower tempo, 1, 2, 3, 4, and at the double tempo, 1, 2, 3, 4. And if I clap the harmonic rhythm in each case, it doesn't change what I'm clapping. So 1, 2, 3, 4, 1, 2, 3, 4, 1, 2, 3, 4, 1, 2, 3, 4, 1, 2, 3, 4. So nothing is changed. It's just purely a notational kind of contrivance. And the music could be notated in different ways, and it would still sound the same.

Q. Dr. Stewart, could you explain the term musical "architecture."

What is that?

- A. There was one other little detail in that slide.
- 21 | Q. Please go back.
  - A. The reason there are two here is because notice that the second chord I put F sharp minor, the same in both songs, because, as I mentioned before, that one additional note that you hear in the basic chord progressions of "Thinking Out Loud"

- 1 is not heard during that whole first part of the verse, which
- 2 actually we're going to be calling verse 1A. So if we go to
- 3 the musical structure, we can talk about that.
- 4 Before you go to the musical structure, could we go back to
- 5 the slide.

- Could you point out for the Court that additional 7 note.
- 8 Do you have a pointer available to you?
- 9 This is not music notation. Here you see that there is a D 10 major chord with F sharp in the bass.
- 11 And that appears when in the "Thinking Out Loud"?
- 12 It happens in much of the song but not at the beginning;
- 13 and here that note, that additional note, D, is not present.
- 14 Q. Thank you.
- 15 It's a small detail, but it changes the harmonic analysis
- 16 and makes them really the same for that whole opening section.
- 17 So I think you were speaking to what musical architecture
- 18 is.
- 19 So most musical compositions are built from sections
- 20 that repeat. Repetition is a very powerful tool in music.
- 21 Very few pieces, especially in popular music, would be what we
- 22 call through composed, meaning that the music is constantly
- 23 changing. In fact, that would be kind of difficult for most
- 24 listeners to comprehend, if it was always changing. So
- 25 repeating sections is the standard way that music is

1 structured.

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An example would be the very simple, common structure of verse, chorus, verse, chorus, verse, chorus. It's also called strophic. So an example would be like the "Battle Hymn of the Republic", "mine eyes have seen the glory of the coming of the Lord." That's the first verse. And then "glory, glory hallelujah" is the chorus that everybody knows or the refrain. The next verse is "I've seen him in the watch fires of a hundred circling camps," and I don't remember the rest of it.

Most of the people -- people would be most familiar with the refrain or the chorus. That's the part usually where everybody joins in. The verse might be a solo singer. The verse kind of advances a narrative because the lyrics change each time while the melody stays the same in the verse. But in the chorus, we return to the same lyrics each time and melody. So this is generally the kind of most memorable part of the song.

In the popular music industry, it's also called the hook. It's considered the most valuable part of the song because it's the most memorable. It's kind of gospel in the popular music industry that a song has to have at least one very memorable hook.

Very often the title of the song is also directly referenced during the chorus. So it kind of connects to the overall theme of the piece of music.

- Q. Dr. Stewart, you've identified verse and chorus, which I think is fairly evident. But you've identified some other components of the musical architecture: Pre-choruses, bridges, interludes, and outros.
  - What are those?

A. Yes. So popular music and other forms, genres as well, often have other sections besides just the verse and the chorus of the strophic form. And common sections are intros, introductions.

The pre-choruses, which would typically come between the verse and the chorus; bridges, which often just happen once, maybe twice. But they kind of take the music in a different direction for a minute before going back.

Interludes, which are sections where maybe the vocal drops out and there's an instrumental part. And then outros, which would be the ending. So those are the common kind of structural forms that you find in popular music, especially today.

- Q. As it relates to bridges, to your knowledge, Dr. Stewart, do they serve a particular function within the context of the musical architecture?
- 22 A. Well, they take the music to a different place. Yeah.
- MR. FRANK: Okay. If we could proceed to the next slide.
- 25 THE WITNESS: No.

MR. FRANK: You're not done yet. I apologize.

THE WITNESS: I thought you would ask me.

The next slide will provide a roadmap, if you will, of the form or structure of each song according to the defendants' musicologist -- and I don't have any problem with accepting his analysis of the form.

I think it's easier to just use that. Different analysts may call different sections by slightly different names sometimes because this is an analytical decision, what to call something. Sometimes they might choose something a little different from one another. But I'm fine with his roadmap. So if we, in a moment, turn to the next slide, we can look at these, the form or structure of each of these songs. And -- Q. Dr. Stewart, I apologize. I'm going to jump back on you for one moment.

The last line, it seems to me you're suggesting your opinion is that the common elements between the two songs at issue in this litigation are contained in the verses of the songs, the choruses, and the interlude?

A. That's correct. So it's important to understand these structures as we proceed because where we're going to hear the most similarities, according to my analysis, are in the verses of "Thinking Out Loud," the choruses of "Thinking Out Loud," and the interlude.

Q. Would you like to proceed to the next slide, Doctor.

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- So here is the analysis of form from the defendants' musicologist. And you'll see that he has for "Let's Get It On" a verse 1 which lasts 16 measures of bars; chorus 1; verse 2; verse 3; bridge 1; verse 4; chorus 2; bridge 2, and then outro.
  - I've add the times on the left side to coincide with also a computer-generated recording of the deposit copy that the defense generated. so those times conform to this computer-generated or AI-generated recording of the deposit copy.
- And then on the right is the form of "Thinking Out Loud." Notice that there is a verse 1A and 1B, a pre-chorus 1, a chorus 1, verse 2A and 2B, pre-chorus 2, chorus 2, interlude that also contains a guitar solo, and then, finally, chorus 3. Those are all his analysis and his timings.
- 15 To be clear, Dr. Stewart, when you say "AI generated," can 16 you describe what that is.
  - Like I said, that refers to artificial intelligence. I'm not really familiar with what software they used to produce this because it's something different than I've ever heard before. We'll hear it in a moment.
- 21 You've brought or you have the defendants' audio file as 22 part of your presentation?
- 23 A. Yes. The next slide will take us through the form using 24 their audio file that they produced, and it will -- as each section of the piece goes by or begins, it gives a timing and

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chords in root position, meaning that the root of the chord was on the bottom on each chord.

And this is something that really kind of bugs me as a musician because it just does not sound very pleasing, especially after four minutes of hearing this. What's

now, I guess I'll deal with it on cross.

MR. FRANK: We'll move on.

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might perform it in different ways.

MR. FRANK: Respectfully what Dr. Stewart is responding to are the choices that were made in utilizing the This isn't about personal choices of musicians and AI program. live performances. He is responding that specific things were done with the AI recording for the defendants that make it more

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- 1 | Q. Are you ready to proceed to the next slide?
- 2 | A. Yes.
- 3 | Q. Presumably this is the audio file for the commercial
- 4 | recording of *Thinking Out Loud*?
- 5 A. Yes, it is. And like what we just heard with the Let's Get
- 6 | It On, this will give captions that give the timing of each
- 7 | section, as well as the name that Dr. Ferrara has assigned to
- 8 | each of these sections. First 1A, 1B, pre-chorus, chorus, etc.
- 9 | Q. Would you like for the file to be played now?
- 10 A. Please.
- 11 (Audio played)
- 12 This is 1B.
- 13 || 2B.
- 14 Q. Dr. Stewart, before you go forward, I believe you testified
- 15 | earlier as to the musical phenomenon of a hook.
- 16 Do you recall that?
- 17  $\blacksquare$  A. Of a what?
- 18 | Q. A hook.
- 19 | A. Yeah.
- 20 | Q. Can you identify the musical hook in the song?
- 21 You just heard where it is.
- 22 A. The chorus.
- 23 Q. The chorus. Thank you.
- Attendant to your analysis, Dr. Stewart, did you have
- 25 | occasion to come to the opinion about a lot of similarities

1 | between the two songs?

A. Yes, I have. I have identified three principal melodic similarities, and one is in the verse of *Thinking Out Loud*, one is in the chorus of *Thinking Out Loud*, and the third is in the interlude.

So we will begin with the verse. The opening phrase of Let's Get It On, "I've been really trying baby," "When your legs don't work like they used to before," and then the following three phrases after that.

Let's listen to the recording on the right.

(Audio played)

And the one below.

(Audio played)

OK. And the transcription there gives the pitches and note that, since this is during verse 1A, the chords are really identical as well. So this melody is happening over the same chords, the same harmonic rhythm. Phrasing is very similar, the placement of the phrases begins after the second beat in both of them.

And if we could go to the next slide.

MS. FARKAS: So I just want to point out for the record, we received a new set of these slides last night around midnight, and I would just ask the witness that to the extent that any of these melody transcriptions or analyses, slides have been altered since the ones that we received before

This first note here is 30-second note. And at this tempo, that is about 7.5 hundredths of a second, each of those notes. The next notes after that are about 1,500ths, hundredths of a second on each of the notes.

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So if you imagine a singer trying to articulate

work are not admissible. So, you know, it's one thing to put

durations. This is a manipulation of the Deposit Copy. top one is the Deposit Copy.

Why are we talking about anything else? What Dr. Stewart perceives is irrelevant.

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23

24

1 And the second.

2 (Audio played)

So the phrases begin on practically the same place in the measure after the second beat. You can see that the pitches in red are the same. Not all the pitches are the same, and their main difference is that *Thinking Out Loud* goes up to six, whereas *Let's Get It On* has two instances of four.

Those instances of four are what are called passing tones, a way of getting from three to five, and then the six, which is the note above five is in music theory called a neighbor tone.

So the basic gesture is 3532231 with the difference in the passing tones between three and four -- I mean, between three and five -- and the neighbor tone between the two instances of five.

Remember that these are happening over the same harmonic progression, the same chords. So the context is exactly the same with the harmonic rhythm and the chords during this early 24 seconds of *Thinking Out Loud* are virtually identical because that one note that was different in the chords is not present.

- Q. And for purposes of clarification, Dr. Stewart, with respect to the numerals at the bottom of the slide, you have a two two in parentheses. What is that intended to depict?
- 25 A. That is indicating that those are the slurs that I was

- 1 | talking about before on the vowel sound. The notes are not
- 2 | articulated with any kind of clarity, especially, I mean, they
- 3 are incredibly brief. The 30-second note is about 7.5
- 4 | hundredths of a second at this tempo. And the 16th notes are
- 5 | about 1.5 hundredth of a second.
- But most importantly, they are just on a vowel sound
- 7 so there is no way to really hear. There's much more than kind
- $8 \parallel$  of a bravado in the voice.
- 9 Q. Do you have any other additional analysis attached to this
- 10 particular slide?
- 11 | A. No.
- 12 | Q. Could we skip to, I believe, slide 62.
- Could you explain for us what a blue note or a toneme
- 14 | is, Dr. Stewart?
- 15 A. Yes. Both songs employ an occasional blue third. This is
- 16 | the third degree of the scale.
- 17 And I already talked about the major and minor chords
- 18 and how the third is determinative of a major or minor. But in
- 19 | African-American music, an important characteristic of much of
- 20 | it is the blue third. This can be the third degree of the
- 21 | scale, which can be major or minor or anywhere in between, and
- 22 | music theorists regard it as still the same toneme or the same
- 23 | pitch. The corollary would be, in linguistics, a phoneme is a
- 24 sound that changes the meaning of a word. And so it is
- 25 considered that the blue third is still a third no matter how

However, if you change one of the other pitches, like

THE COURT: I would prefer that you not refer to Marvin Gaye vocal, if I understand what you mean by that reference.

THE WITNESS: Sorry. Yeah, I understand.

THE COURT: I don't want any more of it.

THE WITNESS: Yes, sir.

(Audio played)

- So the difference is subtle, but it's made it a little bluesier sounding.
- MS. FARKAS: I just want to note an objection to the record that that is not Thinking Out Loud.
- 20 BY MS. FARKAS:

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- 21 Dr. Stewart, what is your analysis with regard to the 22 melodic similarities of the opening melody?
- 23 A. Well, the pitch sequence would remain the same by changing 24 this toneme. I mean by changing this pitch, it is still 25 considered three. So you've not changed the toneme and the

Dr. Stewart if there is a blue note in that portion of Thinking

ON#\$\$\$\$15.11.72cv-05221-LLS DocumPeint 20812 - F\$11ed v056/12/23 Page 64 of 119 280 I'm asking with your transcriptions and compared to the published sheet music. If we look at the grace note there and the blue notes you have put before the jury, is there a blue note in the published sheet music of Thinking Out Loud? THE WITNESS: I don't recall. MS. FARKAS: Would you like me to show you? THE WITNESS: Um, the point is whether there is or there isn't, the sheet music for published sheet music for songs is notoriously not representative of every detail of the recording. MS. FARKAS: So it's not --THE WITNESS: For my entire career, I have not relied just on the sheet music. If I'm learning a cover version of a song, I listen to it and copy what's on the recording. Sometimes the sheet music is accurate and sometimes it's way off. MS. FARKAS: OK. So I think that's a no, it's not in the published sheet music; that's your testimony? THE WITNESS: I don't recall. I relied on the

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THE WITNESS: I don't recall. I relied on the recording as the rep -- as embodiment of the composition as do all of us. That's what was released as *Thinking Out Loud*. It wasn't the sheet music that was copyrighted. It was the song, the recording as representing as embodying the composition.

MS. FARKAS: I mean, Dr. Stewart and I are going to continue to have a disagreement on this. So our position is

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Q. And with respect to doing that, your testimony today is that what we see here on the slide embodied in slide 65 is actually the blue note that you reference, it's your testimony that the blue note is in the audio recording that was commercially released of *Thinking Out Loud*?

So what I heard on the word work was an inflicted

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I just would reiterate that the pitch sequence that we looked at a moment ago would be very similar as well as the rhythms and the placement within the bar, the meter. The underlying chords are almost identical. The harmonic rhythm is

- 1 | identical. So this melodic expression is happening in the same
- 2 | musical context in both songs.
- 3 | Q. Dr. Stewart, could you share with us, the court, your
- 4 | observations, your analysis with respect to the chorus melody,
- 5 | first phrase of *Thinking Out Loud*?
- 6 A. Yeah. So now we turn to the second melodic similarity that
- 7 | I identified, and this is in the chorus or the hook of Thinking
- 8 | Out Loud. The first phrase has the pitch sequence that I've
- 9 notated below, 354433 and then 331 in black, because they are
- 10  $\parallel$  not the same, and then ending on 23.
- So here you have most of the pitches, almost all of
- 12 | them the same. And in the notation, the musical notation, they
- 13 | are also indicated in red. Once again, we're over the same
- 14 | harmonic context and the harmonic rhythm as well, so...
- 15 | Q. So to be clear, before you play the audio samples, the
- 16 | numbers below depict the pitches, is that correct?
- 17 A. Yes. So that's the pitch sequence that we looked at in
- 18 | terms of turning each melody into a sequence of numbers, Arabic
- 19 | numbers in order to see the pitch sequence.
- $20 \parallel Q$ . And in the instances in which the pitches are red, that
- 21 | means that they identify the same pitches, is that what you're
- 22 | stating?
- 23 | A. Yes.
- 24 Q. OK. Thank you.
- 25 Could you play the samples, Ms. Passey.

1 (Audio played)

2.3

OK. Did you have any other comments with respect to the slide, Dr. Stewart, or any other analysis?

A. No. Let's look at the rest of the chorus.

So the chorus of *Thinking Out Loud* consists of three phrases that are very similar to what the one we just heard and then it goes to ending that I'll talk about in a minute.

Let's listen to the Let's Get It On phrase again, and this is an important phrase in Let's Get It On because it references the title. You see at the end of the phrase it says Let's Get It On, so if you believe in love, Let's Get It On.

So let's listen to each example. First the phrase from Let's Get It On and then the entire chorus of Thinking Out Loud.

(Audio played)

So the only difference really of any significance is the second phrase has a slightly different ending to it, on the words 1,000 stars. Something else interesting about this is that the end of the phrase from Let's Get It On with the words Let's Get It On right here, let's Get It On is practically — it's the same pitch sequence as you see at the ending of the chorus of Thinking Out Loud down here.

I'm Thinking Out Loud, so it's the same pitches. So even the ending of the chorus or the hook mirrors what we're hearing in Let's Get It On.

- Do you have any other observations with regard to other melodies that you believe have common elements?
- A. Yes, I do. So I said at the outset that there were three main melodic similarities, and this last one is related to the phrase, we're all sensitive people, which is the opening phrase of the second verse of Let's Get It On. This similar phrases appear in several places in Thinking Out Loud.

So first let's listen to we're all sensitive people with the, once again, the recording made by the defense of the Deposit Copy.

(Audio played)

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So the first instance where there is a similar phrase in Thinking Out Loud is the second, and the second verse the third phrase, when the vocal is when my hands don't play the strings the same way, and we'll talk about the pitch sequence in just a moment.

Let's go to the next slide.

This is essentially a descending scale with repeated notes starting on eight seven and turn back to eight and then seven and then to six.

Let's listen to the recording again from the Deposit Copy recording made by the defense and then the excerpt from Thinking Out Loud from the released recording.

(Audio played)

(Continued on next page)

So let's compare again first from the recordings themselves on the right.

(Audio played)

2.3

THE WITNESS: And I think for these examples we also have audio on the transcription.

(Audio played)

THE WITNESS: You can hear, and you can see that in "Thinking Out Loud" trails downward a bit further. The pitch sequence is, again, there on the bottom left. This is sort of a complicated diagram with a lot of arrows and numbers.

We can see that in "Let's Get It On;" there is a similar pitch sequence in terms of going from 6 to 5, although it's in the next phrase, and a 3 and, eventually, 1. So there is a similar expression in "Let's Get It On," but admittedly it is in the next phrase.

The pitch sequence at the bottom has a gap to show where that occurs in "Let's Get It On" so there is no attempt here to say that this is all a part of the same phrase, but it

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so easily from one to the other. Also this melodic similarity

that we've been listening to.

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MS. FARKAS: Can you try not to lead the witness. Can you let him just answer the question.

> MR. FRANK: Sure.

Can you describe your analysis with regard to the chords and the harmonic rhythm.

- 1 A. Yes. They're almost identical. We've seen that there is
- 2 | just a note that is sometimes present in one that's not in the
- 3 other. Again, it's identical harmonic rhythm with the
- 4 | anticipated second and fourth chords. The melodic similarities
- 5 | are happening with this. So it's the same creative choices in
- 6 terms of melodic notes and the underlying harmony.
- 7 Q. Now, Dr. Stewart, with respect to the work you do outside
- 8 of consulting and teaching, you teach; right? At the
- 9 University of Vermont?
- 10 | A. Yes.
- 11 | Q. Have you ever taught any courses that relate to music
- 12 | copyright?
- 13 | A. Yes.
- 14  $\parallel$  Q. Could you describe that to the jury, just very briefly.
- 15 A. Yes. One course that I teach that's very popular is on
- 16 | music copyright and music business. So this is a field that's
- 17 | always rapidly changing, especially with technological
- 18 developments. So I've taught this course for a number of
- 19 | years. And there is a lot of focus on music copyright, and we
- 20 look at different cases that have come up.
- 21 | Q. Do you have occasion to deliver speeches or papers at
- 22 | scholarly or academic conferences?
- 23 | A. Yes. There was recently at the American Musicological
- 24 | Society, which is kind of the premier scholarly society for
- 25 | historical musical research. I had a paper accepted, which is

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soon as I was engaged in this case, I received Dr. Ferrera's preliminary report, which already contained a prior art search.

And, in my experience, Dr. Ferrera's prior art searches are pretty comprehensive. I've done prior art

looking at here with the melodies and the underlying harmonies,

Yes. And that is that the expression that I've been

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none of the prior art identified comes close to containing this same expression.

Some of the songs that were brought up don't predate the songs at issue here, for example, Lionel Richie's "Do It To Me," which is much later, 1992, or a Michael Jackson song. In only one recording of "Georgy Girl," which was done by a rather obscure Mexican band leader, is the harmonic rhythm with the anticipated second or fourth chords the same as in "Let's Get It On" and "Thinking Out Loud."

- Q. To be clear, the "Georgy Girl", who originally did "Georgy Girl"?
- 12 A. The hit was by the Seekers, and that was 1968.
- Q. The version that was cited by Dr. Ferrera was not the Seekers?
- 15 | A. No, it wasn't.
- 16 | Q. Whose version was it that was actually cited by
- 17 | Dr. Ferrera?

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- 18 A. I think one of the next slides will indicate that.
- So this goes into it in somewhat more detail. Of the
  15 songs containing this progression, only "Georgy Girl" has
  21 this hormonic rhythm, and the best-known version by the
  22 Seekers, the fourth chord is not even clearly anticipated.
  - Most importantly, the melodic similarities that we've been looking to, especially, for example, the melodic similarity of the chorus, there is nothing like that in "Georgy

It's next to impossible that this received any airplay

similarity in terms of the descending scale with the repeated

Again, although this is the only one that has that

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- 1 | notes, it doesn't sound like anything like what we heard with
- 2 | "We're All Sensitive People", that phrase.
- 3 | Q. Did you have an audio clip to accompany this?
- 4 | A. I do.
- 5 (Audio played)
- 6 BY MR. FRANK:
- Q. In your opinion, Dr. Stewart, are any of the prior art cited by the defendant, are any of them applicable in this
- 9 case?
- 10 MS. FARKAS: Objection. Leading.
- 11 | THE WITNESS: Are they applicable?
- 12 BY MR. FRANK:
- 13 | Q. Are they appropriate prior art?
- MS. FARKAS: Same objection. I don't know what that means. Perhaps he can explain.
- 16 THE COURT: Overruled. That objection is overruled.
- 17 BY MR. FRANK:
- 18 | Q. Dr. Stewart, can you repeat the question, please.
- 19 MR. FRANK: Sure.
- 20 | Q. Do you believe that the prior art that's been cited by the
- 21 defendants, in your professional opinion, is appropriate in
- 22 | this instance?
- $23 \parallel A$ . Well, the works that predate the songs at issue here that
- 24 | have the same chord progression, yes, are appropriate for
- 25 | comparison's sake. But I would like to reiterate that none of

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legitimacy of that reference?

MS. FARKAS: Objection.

MR. FRANK: I'll withdraw.

- 1 | Q. Dr. Stewart, are you aware of any Van Morrison songs or,
- 2 | "Crazy Love" in particular, that have common elements with
- 3 | "Thinking Out Loud"?
- 4 | A. Well, as I read the transcript -- and I looked at some of
- 5 | the video -- I understood Mr. Sheeran to say that his
- 6 | inspiration for the song was Van Morrison's "Crazy Love" and,
- 7 | in fact, they were calling it "the Van Morrison song" for a
- 8 | while.
- 9 But "Crazy Love" is nowhere near as similar as "Let's
- 10 Get It On" is to "Thinking Out Loud." First of all, it only
- 11 | has three chords. So after the 1, 3, 4, progression that we've
- 12 | seen, it goes right back to 1. So it spends most of its time
- 13 on the 1 chord. So it's not nearly as similar as anything that
- 14 | we've listened to, especially "Thinking Out Loud" and "Let's
- 15 Get it on."
- He also says that all songs have the same four chords,
- 17 | and I think that's obviously untrue. This one only has three.
- 18 | Q. For the record, Dr. Stewart, how many chords does "Thinking
- 19 | Out Loud" have?
- 20 | A. Four.
- 21 | Q. Four?
- 22 A. Yes.
- 23 Q. Thank you.
- 24 MS. FARKAS: For the record, I want to object to that
- 25 | last line as also mischaracterizing Mr. Sheeran's testimony.

It goes back before it reaches the end of the second measure. So it has a very different kind of feel. The only harmonic anticipation is on that fourth chord when it goes back to D, the fourth chord which is a repeat of the first chord. So it's not a fourth chord that is different.

I have an audio example here so you can hear that it's really nothing like what we've been listening to.

(Audio played)

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THE WITNESS: So when we get to that section about love, love, love, of course that's totally different too. It's not the same chords at all. It's completely different chords.

BY MR. FRANK:

Q. So, to summarize, what are your opinions with regard to the

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"Let's Get It On" and back to "Thinking Out Loud."

So the songbeds, the foundation of each song, is identical. Interestingly, it goes right very soon -- in the interpolation it goes right to the "We're All Sensitive People" phrase, which has a corollary in "Thinking Out Loud" as we've

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BY MR. FRANK:

What were the specific mash-ups that you reviewed?

A. There were no mash-ups.

- Q. What were the specific melodies that you reviewed?
- A. If we could go to the next slide.

One example is "Take It Back" where Mr. Sheeran goes into "Superstition" and then eventually into "Ain't No Sunshine" by Bill Withers. He essentially stays on the chord changes of "Take It Back." So these are not standard chord changes for either "Superstition" or "Ain't No Sunshine."

I guess it fits with his concept of all songs have the same four chords, but these are not the standard chords for "Superstition" or "Ain't No Sunshine."

Another example is "Can't Help Falling In Love" which he does play the standard chord changes and then goes into "Thinking Out Loud." That is clearly a medley. He doesn't go back to "Can't Help Falling In Love."

And then two of his originals, "Kiss Me" and "Thinking Out Loud," which, again, have different chord changes, so it's very clear that he's moving from one to the other. There is no seamlessness like you hear with "Thinking Out Loud" and "Let's Get It On." And, again, no return back to the first tune. So it's not moving from one song to another and then back again. It's a medley.

- Q. As opposed to an interpolation?
- 24 | A. Yes.
  - Q. Were there any other selections that you had the occasion

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medley. It doesn't go back to the first song.

A basic characteristic of a lot of rap is that it could happen over any kind of chord changes because the melodic considerations are minimal. So the basic underlying music could be anything, unlike the transition, again, from "Thinking Out Loud" into "Let's Get It On" and back to "Thinking Out Loud."

- In the materials that you reviewed, other than the video that we saw which was "Thinking Out Loud" and "Let's Get It On, " are you aware of any other instances of interpolation by Mr. Sheeran?
- There is one very short example where he briefly plays a quitar with from Eric Clapton from Layla. That's the only instance of interpolation that I recall hearing.
- 22 So, once again, the example we've seen of "Let's Get 2.3 It On" and "Thinking Out Loud" is unique.
  - Do you have any other opinions or analyses as it relates to Mr. Sheeran's interpolations or medleys?

- 1 A. If you go back to the last slide, there was one other
- 2 | example. In "Take It Back," he briefly goes into the
- 3 | Backstreet Boys' "Everybody" for about eight seconds or six
- 4 seconds at the end just to end his song. So, again, it's a
- 5 | very different thing than what we've heard in the video from
- 6 | Zurich.
- 7 | Q. Dr. Stewart, in light of all the materials that you've
- 8 | reviewed and in your professional experience, do you believe
- 9 | that Mr. Sheeran and Amy Wadge copied "Let's Get It On"?
- 10 A. I think the preponderance of the evidence shows that there
- 11 | was copying.
- 12 MS. FARKAS: Objection, your Honor.
- MR. FRANK: I'll withdraw the question, and I'll
- 14  $\parallel$  re-ask it.
- 15 THE COURT: Sustained.
- 16 BY MR. FRANK:
- 17 | Q. Dr. Stewart, do you have an opinion as to whether Amy Wadge
- 18 and Ed Sheeran copied "Let's Get It On"?
- 19 A. I can answer that.
- 20 | Q. Do you have an opinion?
- 21 | A. Yes, I do.
- 22 Q. What is that opinion?
- 23 A. That, yes, there was some copying.
- 24 | Q. What leads you to believe that there was copying by Ed
- 25 | Sheeran and Amy Wadge?

A. Because you see this unique combination of creative choices between the melody and the accompaniment.

MS. FARKAS: Your Honor, I'm going to interrupt the witness because he keeps using the word "unique" that your Honor has prohibited him from uttering in this courtroom. And I move to strike this witness' entire testimony on that basis. He has to be admonished at a minimum. This is outrageous.

THE COURT: He's been doing that kind of thing all afternoon without your objection, and I've been sustaining them when you did object, and I'm sustaining this one.

MR. FRANK: If we could go to the next slide, please, the last slide, I believe.

- Q. Dr. Stewart, having reviewed the materials in question and based on your professional experience, do you have an opinion as to how much of "Thinking Out Loud" is attributable to infringing upon "Let's Get It On"?
- A. Well, in my declaration, I estimated a value of 70 percent.

  And that's based on the chorus, which is considered, as I've said before, the hook, which is the most memorable and valuable part of the song.

So the choruses of these songs being so similar melodically and of course with the underlying chords, I think that standard procedure in musicology is to take the quantitative assessment, which would be maybe a cruder

- 1 | Q. And you're not here today to offer an opinion on whether
- 2 | "Thinking Out Loud" infringes "Let's Get it on." Correct?
- 3 A. Correct.
- 4 | Q. And in fact, you're not allowed to offer such an opinion;
- 5 | correct?
- 6 A. Correct.
- 7 | Q. And in fact, this Court has ordered that you're not
- 8 | permitted to testify as to whether any part or parts of "Let's
- 9 | Get It On" are unique or distinctive; correct?
- 10 A. That's my understanding.
- 11 | Q. And that's because the Court properly ruled that you did
- 12 | properly not look into whether, in fact, "Let's Get It On" or
- 13 | any of its constituent elements are unique to "Let's Get it
- 14 on." Correct?
- 15 A. Well, I think that's a misunderstanding of how this case
- 16 developed. Because, for me --
- 17 | Q. I just asked you a yes or no question, Dr. Stewart.
- 18 A. This is not the way a case usually evolves for me. I was
- 19 given a prior art search when I first started working on it.
- 20 | Usually I don't start with any prior art search and have to do
- 21 my own.
- 22 | Q. Dr. Stewart, let me read to you the order that I was
- 23 | referring to. Maybe that will streamline things a little bit.
- 24 | on August 18, 2020, this Court ordered:
- 25 | "For reasons particular to this case, Dr. Stewart

1 (In open court)

THE COURT: Everything is being done that can be done and we have no way of contributing to it. One of her friends and counsel has just gone out to be with her. There is no reason for us not to continue.

MS. FARKAS: OK.

## BY MS. FARKAS:

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- Q. Dr. Stewart, you have admitted that the chord progression and anticipation that's at issue in this case appears in at least one song prior to Let's Get It On, Georgy Girl, correct?
- 11 A. In the two iterations by the Mexican band leader and the easy listening version, yes.
- Q. OK. We'll get to that song. That's my only question.

  Thank you.
  - We'll get to that in a little while. I would like to talk a little more about the chord progression.

You're aware that the court has ruled that the Let's

Get It On chord progression, that there is uncontradicted proof
in this case that the chord progression is common, correct?

- A. That's my understanding.
- 21 Q. And that ruling was issued after you rendered your report 22 in this case, correct?
- 23 | A. I believe so.
- Q. And, in fact, the chord progression is included in basic how to play guitar and piano instruction books, correct?

- 1 A. Without the anticipation.
- 2 Q. Yeah, I'll take you through everything. I'm just talking
- 3 about the chord progression right now.
- 4 Correct?
- 5 A. As I recall, yes.
- Q. I'm going to hope it's the same slide because it's changed a bit. Can we look at your slide 24, please.
- Looking at your slide 24, you have testified that the basic chord progression in *Let's Get It On* is the 1,3,4,5,7,
- 10 | correct?
- 11 A. In the Deposit Copy, yes.
- 12 Q. Correct. And Thinking Out Loud, that's all that is at
- 13 | issue here, Dr. Stewart.
- And in Thinking Out Loud, it's the 1,1,3,4,5, correct?
- 15 A. Not during the first 24 seconds.
- 16 Q. OK. We'll get to that, too. But that's your slide, right?
- 17 | A. Yes.
- 18 Q. OK. And let's focus on the second chord in Let's Get It
- 19 | On.
- 20 That's a minor chord, correct?
- 21 A. Yes.
- 22 | Q. And the second chord in the *Thinking Out Loud* progression
- 23 | is a major chord, correct?
- 24 A. It's the same pitches over the same rote.
- 25  $\parallel$  Q. Is it a major chord?

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- 1 | A. It is a major one chord with a three in the rote, which is
- 2 | a distinct sound.
- 3 | Q. Is it a major chord; yes or no?
- 4 A. Yes. It's indicated by my --
- 5 | Q. Thank you.
- 6 A. -- upper case Roman numeral I.
- Q. OK. You were the one fighting me on it. I'm just trying to get the answer to my question.
- 9 Major chords and minor chords are not the same, are 10 they?
- 11 | A. That's correct.
- 12 Q. And the fourth chord in the Let's Get It On progression is
- 13 | a five seven chord, correct?
- 14 A. In the basic, yes, in the Deposit Copy, um-hmm.
- 15 | Q. There's no seven after the five chord and the fourth chord
- 16 of Thinking Out Loud, correct?
- 17 A. Well, in this basic outline of the harmony, there isn't.
- 18 | But even in Dr. Ferrara's analysis, there are iterations of the
- 19 chord progression where there is a seventh present in the
- 20 | fourth chord.
- 21 | Q. I'm looking at your slide. I'm not trying to complicate
- 22 | things. I'm just looking at the slide and asking you some
- 23  $\parallel$  questions about it, OK.
- $24 \parallel$  So a five and a five seven are not the same exact
- 25 | chord, correct?

There's an extra note there, as you put in your own slide, correct?

- A. Yes. But it's, as I've said, that extra note appears all over the place in *Thinking Out Loud*, too. So this is a basic harmony.
- Q. This extra note in the five seven chord in Let's Get It On, you talked about for some time during your testimony, right; you said it could be at the top, you said it could be at the bottom, right?
- 10 A. Yes. Any of these notes and these chords can be reordered
  11 in different ways.
- Q. OK. But I'm saying you spent some time talking about the various ways this fourth note could appear.

14 You obviously found some significance to it, correct?

A. Pardon?

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- Q. You spent some time in your testimony talking about this
  fourth note in the five seven chord and saying that it could
  either appear at the bottom of the three notes or at the top of
  the three notes, correct?
- 20 | A. Yes.
- Q. And you actually sat at the piano and testified that it's different to you depending on where it appears, right?
- A. Yes. I mean, it's one reason that recording that you made
  of Thinking Out Loud -- I mean of Let's Get It On -- is so
  cheesy sounding is because of that chord, that it was voiced on

- 1 | that recording.
- 2 | Q. Can we look at slide 29, please.
- So this is something that you also testified about and you refer to quite a bit in your testimony. Do you see any versions of the *Thinking Out Loud* chord progression where the
- 7 | A. Yes.

8 | Q. Where is that?

fourth chord is a V7?

- A. The eleventh implies that there is a seventh also. Usually when you're using chord symbols like this, if you're working your way up the chord, it assumes that the lower -- these are
- called extensions of the chord, the ninth, the eleventh, and
- 13 | the thirteenth.
- 14 | Q. What --
- 15 A. So the assumption in music theory is that if you have these
- 16 upper extensions like the ninth or the eleventh, that there is
- 17 seventh, also.
- 18 Q. Is your testimony that it doesn't matter if a chord
- 19 progression has a five chord or a five seven chord, it's all
- 20 | the same to you?
- 21 A. No, I didn't say that. I said when it says 511, that
- 22 | implies that there is a seventh present.
- 23  $\parallel$  Q. So if it is five or five seven or five one one, all those
- 24 | are the same to you?
- 25 | A. No, I didn't say that.

- 1 | Q. They are different?
- 2 A. Well, if it just says five, that implies that it is only
- 3 | the triad that wrote the third and the fifth.
- 4 | Q. And there is a seven or 11 or any other number, there is an
- 5 | extra note there, correct?
- 6 A. At least one. There could be more.
- 7 | Q. Now, I believe you testified earlier that you in performing
- 8 your analysis of Thinking Out Loud, that you listened to the
- 9 | song, I think you said, hundreds of times; is that an accurate
- 10 | summary of what you said?
- 11 | A. I think so because. This has been going on for eight
- 12 | years, so it's been an awful lot of time.
- 13 | Q. And you spent a significant amount of time listening to the
- 14 | recording when you were performing your analyses in this case,
- 15 | I assume, correct?
- 16 A. Yeah. And to transcribe this to put it into musical
- 17 | notation, you really have to go through it note by note by
- 18 | note, and not just the melody, but all the parts that are
- 19 present on the recording.
- 20  $\parallel$  Q. And you do that, at the risk of asking you a silly
- 21 | question, you do that to try to get it right, right?
- 22 | A. That's my attempt, yes.
- 23 | Q. You offered a report in this case, correct?
- 24 | A. Several.
- 25 | Q. And let's focus on the 2017 report.

Everything you said in that report was, to the best of your knowledge, truthful and accurate, correct?

- A. At the time. I mean, over eight years there's been some evolution in my thinking and of the analysis. I mean, it's not static.
- Q. You spent a good amount of time preparing that report and writing it, correct?
  - A. Yes.

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- 9 Q. And you also submitted a declaration in this case in 2018, 10 right?
- 11 | A. Yes.
- Q. And you're aware that a declaration is sworn statement under oath subject to the penalty of perjury, correct?
- 14 | A. Yes.
- Q. And everything in your declaration was, to the best of your knowledge, truthful and accurate, correct?
- 17 | A. Yes.
- Q. You've testified that the first 24 seconds of *Thinking Out*Loud that the second chord is not a D/F sharp chord or a one

  three chord, but that it's the three chord which you claim, you
- 21 know, is the same as the second chord in Let's Get It On,
- 22 | correct?
- A. Well, I said that the note that would make it the one chord over the three, the one note that would make it that is not present in the guitar playing, which is the only accompaniment

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- 20
- 21 Yes? 0.

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- 22 Α. Yes.
- 23 Looking at the second chord there, what have you
- 24 transcribed there?
- 25 I have put D/F sharp. I was mistaken when I put that.

- 1 | Q. So you were mistaken in the report after you listened to
- 2 | the song hundreds of times?
- 3 | A. Well --
- 4 | Q. Yes or no?
- 5 A. -- this is an evolving process, and at some point during
- 6 | this work over the eight years, I did more detailed
- 7 | transcription, as did your musicologist. Regarding the first
- 8 | 24 seconds in particular, we both did detailed transcriptions
- 9 of the guitar part during those first 24 seconds.
- 10 Q. OK.
- 11 A. And, moreover, I've testified that this single note is not
- 12 | significant in the way it sounds anyway.
- 13 | Q. Well, so you're saying -- what are you saying, the second
- 14 chord is insignificant?
- 15 A. No. I'm saying that that note is not there during the
- 16 | first 24 seconds.
- 17 Q. OK.
- 18  $\parallel$  A. And if I wrote a wrong chord symbol there, then I was
- 19 wrong.
- 20 | Q. OK. Well, it seems that you continued to be wrong in your
- 21 declaration, which was submitted the next year in 2018, where
- 22 | you have the same transcription.
- 23 | A. Well, if I may --
- $24 \parallel Q$ . No, there's actually no question pending, come to think of
- 25 | it.

- So, Dr. Stewart, are you wrong -- were you wrong then or are you wrong now?
- A. In both your musicologist's transcriptions --
- MS. FARKAS: Your Honor, I would ask that you instruct the witness to answer any questions instead of constantly referring to what our expert musicologist did.

THE COURT: Try to answer this question without reference to your opposing expert, although I gather you put a deal of reliance in his views.

Would you repeat the question?

MS. FARKAS: Sure, your Honor.

- BY MS. FARKAS:
- Q. Dr. Stewart, were you wrong then or are you wrong now about the second chord in *Thinking Out Loud*?
- A. I was wrong then, and it's really a function of cut and
  paste, which we are all very familiar with. So cutting and
  pasting in this example from my earlier reports was what I did
- 18 here.

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- 19 | 0. Is there --
- 20 A. That note does not appear in any of our transcriptions
- 21 during those 24 seconds. What can I say, it's not there,
- 22 so ...
- 23  $\parallel$  Q. Well, it is there.
- 24  $\parallel$  A. It's an error.
- 25 | Q. Your report is about 11 pages and there is only a handful

- 1 of transcriptions, and you rely quite heavily on this
- 2 particular melody in your report for your conclusion of
- 3 copying.
- And so are you suggesting that you just -- when you do
- 5 | an analysis for a claim of copying and infringement, that you
- 6 | just take the defendant's musicologist's reports and you cut
- 7 | and paste their transcriptions; is that what you're saying?
- 8 | A. No.
- 9 | Q. So what do you cut and paste?
- 10 A. From my prior reports. And, um, to prepare these music
- 11 | examples is a pretty tedious process using music notation
- 12 | software. This is not a significant difference. I'm perfectly
- 13 | happy to admit that it's an error.
- 14 | Q. So it's also an error -- so this is your 2017 report and in
- 15 your 2018 sworn declaration, that was another error?
- 16 A. Apparently so.
- 17 | Q. I would like to show you what has been marked as Joint
- 18  $\parallel$  Exhibit 4 in this case.
- Can you please identify this for us?
- 20 | A. That is a version of the sheet music of Thinking Out Loud
- 21 and --
- 22  $\parallel$  Q. Would you agree that the first line of music in this
- 23 | published sheet music corresponds to a portion of the first
- 24 | 24 seconds of Thinking Out Loud?
- 25  $\parallel$  A. Yes. It's not exactly what I hear on the recording, but

- 1 | sheet music is not usually that faithful in every detail.
- 2 | Q. Well, you heard it hundreds of times and you actually
- 3 | transcribed the same thing, so...
- 4 A. Not exactly, because I put it an inflection on the note
- 5 above work.
- 6 Q. Right, the grace note. We'll get to that, too. I'm
- 7 | talking about the chords now.
- 8 Would you agree that the second chord in the first
- 9 | line of the music in this published sheet music for *Thinking*
- 10 | Out Loud is D/F sharp major?
- 11 | A. That's the symbol that's used, but if you look down below
- 12 where you are not showing it, there is no D.
- 13 | Q. I'm not asking -- I'm asking you what the chord progression
- 14 | that is reflected in the published sheet music of *Thinking Out*
- 15 | Loud, is it D/F sharp major?
- 16 A. It's -- that is the chord symbol, but that is not
- 17 | necessarily the notes that are being played. Because if you
- 18 | look below, those notes are being played. There is no D.
- 19 | Q. You're saying the sheet music is wrong now?
- 20 A. Yes.
- 21 | Q. OK. But the D/F sharp major, that is a one/three in Roman
- 22 | numerals, correct?
- 23 | A. Yes.
- 24 | Q. OK. So your own report, your own sworn statement and the
- 25 | published sheet music all say one three, but now you are saying

- 1 | that it's not that, and you want to make it more similar to
- 2 | Let's Get It On, is that correct?
- 3 A. Not at all, because if my declaration -- and I know in my
- 4 declaration I made a detailed description of these opening
- 5 | 24 seconds with the transcription of every note of the quitar,
- 6 | as did the other musicologist, and they both -- we both agreed
- 7 | that note is not there.
- 8 | Q. But I'm talking about --
- 9 A. So the chord symbol --
- 10 | Q. Excuse me, Dr. Stewart. I'm talking about the melodies in
- 11 | the transcriptions that you have placed in issue in this place,
- 12  $\parallel$  not other parts of other transcriptions you did.
- So let's stay on topic. You also claim similarity
- | 14 | | with respect to the anticipation used in the chord progression,
- 15 correct; you say that the second and fourth chords are played
- 16 before or ahead of the beat, correct?
- 17 | A. I'm sorry, could you repeat that?
- 18 | Q. You claim similarity with respect to the anticipation
- 19 | that's used with the chord progressions at issue, correct?
- 20 | A. Yes.
- 21 | Q. And that the second and fourth chords are played ahead of
- 22 | the beat?
- 23 | A. Yes.
- 24  $\parallel$  Q. And you're aware that the court has ruled that there is
- 25 | uncontradicted proof in this case that anticipation is common,

- 1 | correct?
- 2 A. Of course. It's not --
- 3  $\parallel$  Q. Are you aware of that?
- 4 A. It's with this combination of chords in anticipation that
- 5 | it's not common.
- 6 | Q. That's not my question?
- 7 A. Yes. But, of course, anticipation as a consent is common,
- 8 | yeah. How could you --
- 9 Q. Before writing your report in this case, did you review all
- 10 of Ed Sheeran's prior songs?
- 11 | A. No.
- 12 | Q. Did you review any of them?
- 13 | A. Yes.
- 14 | Q. Were you aware that Ed Sheeran himself used anticipation in
- 15 | at least 20 songs that he wrote before *Thinking Out Loud*?
- 16 A. That would not surprise me at all. All people use
- 17 | anticipation. I mean, not all, but many, many composers,
- 18 songwriters, use anticipation. There has never been any claim
- 19 | that anybody can own anticipation, that it's ...
- 20 | Q. Well, when we're talking about the combination of the chord
- 21 progression and the anticipation that's at issue here, you're
- 22 aware that you are prohibited from saying whether or not that
- 23 || combination is unique to Let's Get It On, right?
- 24 | A. I'm not sure if I'm prohibited from saying the combination
- 25 | is.

- 1 | Q. Did you read the court's ruling before you testified today?
- 2 A. I did, and it was not clear to me that I couldn't say the
- 3 | combination. But I would appreciate clarification. I thought
- 4 | that separately the idea that anticipation and separately the
- 5 chord progression couldn't be considered that way.
- 6 | Q. So let's get you that clarification then.
- 7 For reasons particular to this case, Dr. Stewart shall
- 8 | not characterize Let's Get It On or a constituent element of
- 9 | it, such as a chord progression or harmonic rhythm, as being
- 10 | unique, distinctive, unusual, or the like.
- 11 So it refers to any other constituent element of it.
- 12 Does that give you some clarification?
- 13 | A. Well, the way I read that, it says "or," it doesn't say
- 14 | "and." And so I read it as they are separate concepts, which
- 15 | indeed they are.
- 16 | Q. But, of course, maybe it doesn't matter because you've
- 17 | already acknowledged that there is at least one song that has
- 18 | that combination previous to Let's Get It On, right?
- 19 MR. FRANK: Objection, calls for legal conclusion.
- 20 MS. FARKAS: I'm not asking for a legal conclusion.
- 21 | It was the same question he already answered before.
- 22 MR. FRANK: You said does it matter.
- 23 THE COURT: Overruled.
- I think it calls for a factual conclusion.
- 25 MR. FRANK: Thank you, your Honor.

- 1 BY MS. FARKAS:
- 2 | Q. Can you answer the question?
- 3 A. Could you repeat it, please?
- 4 | Q. Probably not. I'll do a similar one.
- You agree that Let's Get It On was not the first song
- 6 to combine the chord progression at issue and the anticipation
- 7 | at issue, correct?
- 8 A. Combined the chord progression and what?
- 9 | Q. The anticipation of the second and fourth chords, correct?
- 10 A. That's correct. We have heard Georgy Girl.
- 11 | Q. You admitted that the same combination appears in at least
- 12 | two versions of a song called *Georgy Girl*, correct?
- 13 A. Yes.
- 14 | Q. And if you look at your slide 78, you admit that a version
- 15 of Georgy Girl has the same chord progression with the
- 16 | anticipation of the second and fourth chords as well; you admit
- 17 | that on your slide, correct?
- 18 A. Yes, the two very obscure versions that would not have had
- 19 | widespread popularity.
- 20 | Q. Right. But whether or not it is obscure or popular or
- 21 anything, it existed before Let's Get It On, correct?
- 22 A. Yeah. But my understanding, and I'm not a lawyer, but my
- 23 | understanding that if it's not copied, it's original.
- MS. FARKAS: Move to strike, your Honor.
- MR. FRANK: He was answering a question, your Honor.

- THE COURT: I think we're quarreling over words. The motion is denied.
- 3 Q. If we look at your slide 79, there's another version of
- 4 | Georgy Girl that has the same combination of the chord
- 5 progression and anticipation of the chords, the one by 101
- 6 | Strings Orchestra, correct?
- 7 A. Yes. Here, they are clearly anticipated.
- 8 Q. And both of these versions of *Georgy Girl* were recorded and
- 9 | released before Let's Get It On, correct?
- 10 | A. Yes.
- 11 | Q. And Georgy Girl, by the way, was the title song of a very
- 12 popular movie from the '60s, isn't that correct?
- 13  $\parallel$  A. Yes, it was.
- 14 | Q. And are you aware that the song was nominated for best song
- 15 | at the Oscars?
- 16 A. I was not aware of that.
- 17  $\parallel$  Q. And it was a number one hit in the United States?
- 18 A. I'm sorry, what?
- 19 Q. It was a number one hit in the United States, are you aware
- 20 of that?
- 21 A. It wouldn't surprise me. None of these versions were.
- 22 | Q. OK. But in contrast to the version of Georgy Girl by the
- 23 | Mexican band leader that you have disparaged, could you imagine
- 24 | that a version of the song being nominated for an Academy Award
- $25 \parallel$  and achieving number one status on the charts would have some

- 1 | influence?
- 2 A. It was obviously a very popular song, so I'm not sure what
- 3 you're asking.
- 4 | Q. Well, you have no idea whether Ed Townsend heard any of
- 5 | these songs, do you?
- 6 A. I wouldn't be surprised at all if he heard the Seekers
- 7 | version, but these other two versions I would be very surprised
- 8 | if he heard that.
- 9 Q. I'm not asking if you would be surprised, I'm asking if you
- 10 know.
- Do you know whether or not Ed Townsend ever heard
- 12 | either, any version of *Georgy Girl* before?
- 13 A. Of course I can't know. I wasn't with him.
- 14 | Q. Did you know that the Boston Pops also released their own
- 15 | version of *Georgy Girl* in 1968?
- 16 A. No, I didn't know that, but it's not surprising.
- 17 | Q. Do you know the Boston Pops version also uses this same
- 18 | combination?
- 19 A. That wouldn't be surprising. That was basically easy
- 20 | listening music, too, so ...
- 21 | Q. And do you find these versions, the 101 Strings, the
- 22 | orchestra, and the Boston Pops version, more relevant than the
- 23 | version created by someone you characterize as an obscure
- 24 | Mexican band leader?
- 25 A. I'm sorry, what?

- 1 | Q. Do you find these versions more relevant than the one that
- 2 you cast off as being recorded by an obscure Mexican band
- 3 | leader?
- 4 A. OK. You know, I've spent a lot of time in Mexico
- 5 | researching Mexican music. I don't think this is just sort of
- 6 | a casual statement. But he would certainly be obscure to the
- 7 | average American. I mean, how can that be disputed?
- 8 So, I'm sorry, I don't -- if there is some other
- 9 question here that you're getting at ...
- 10 | Q. Sure. The question is whether this combination existed
- 11 | before Let's Get It On, isn't that the relevant question?
- 12  $\parallel$  A. No, not in my opinion.
- 13 | Q. Well, let's look at the definition of unique.
- So unique being the only one, so using that
- 15 definition, you would agree with me, don't you, that Let's Get
- 16 | It On was not the only one to use the combination of the chord
- 17 | progression with anticipated second and fourth chord, correct?
- 18 A. I never said that that was unique and I wouldn't say it
- 19 | now.
- 20 | Q. Are you familiar with the song You've Lost the Sweetest Boy
- 21 | released in the 1960s?
- 22 | A. Which song?
- 23 Q. You've Lost the Sweetest Boy?
- 24 | A. You lost the what?
- 25  $\parallel$  Q. The sweetest boy. It was recorded by Mary Wells?

- 1 A. As a matter of fact, I saw it in the slides that you
- 2 proffered, or that your side proffered, yes.
- 3 Q. Right. Have you ever --
- 4 A. I was not aware of that song, no.
- 5 Q. Are you aware -- I guess then you wouldn't be aware if it
- 6 was recorded in 1963?
- 7  $\blacksquare$  A. I am now, yes.
- 8 Q. Have you ever performed with Mary Wells?
- 9 A. No. Mary Wilson from the Supremes, not Mary Wells.
- 10 | Q. Are you aware that You Lost the Sweetest Boy combines the
- 11 | 1,3,4,5 chord progression with anticipated second and fourth
- 12 | chords?
- 13 A. I listened to it after I received your slides, and I am
- | 14 | | aware that it occurs three times in the course of the song,
- 15 | three places for about six seconds each time.
- 16 Q. OK. So it does appear in the song?
- 17 | A. It appears, yes. But it's easily missed because it's a
- 18 | very small part of the song.
- 19  $\|$  Q. But it exists?
- 20 A. It exists, yes.
- 21 | Q. Correct?
- 22 And did you also notice that You Lost the Sweetest Boy
- 23 | is recorded or written in the key of E flat major, which is the
- 24 | same key as Let's Get It On; did you notice that?
- 25 | A. I did notice that.

- Q. So Let's Get It On was not the first chord to combine those elements together either, correct?
  - A. Which elements?
- 4 Q. The chord progression, the anticipation of the second
- 5 | fourth, and that it happens to be in the same key as well?
- 6 A. I don't think the key is relevant anyway. I've never
- 7 claimed it was.
- 8 Q. Combines the two elements you believe is relevant, the
- 9 chord progression and the anticipation of the second and fourth
- 10 | chord?

- 11 A. Yes, um-hmm, very briefly.
- 12 Q. Hold on, please. I would like to move on to the melodies
- 13 | that you have placed at issue in this case.
- 14 First, I would like to talk about the definition of
- 15 melody, if we can put a definition on the screen, please.
- Could you read that to yourself and tell me if you agree with that definition of melody?
- 18 A. I'm very familiar with that definition, and yes.
- 19 Q. In fact, you previously swore under oath that this is the
- 20 proper definition of melody, correct?
- 21 A. Yes.
- 22 | Q. And so focusing on, I believe -- for the record, I believe
- 23 | that we may have bolded that language, so the record is
- 24 | clear -- an essential element in the formation and recognition
- 25 of melodies is duration, correct, rhythm?

- A. Duration and rhythm are not exactly the same thing, but yes.
- 3 Q. What about metric placement of each note within the bars of
- 4 | melody, that is also essential, right?
- 5 A. It's another factor.
- 6 | Q. And, in fact, you previously swore under oath at your
- 7 deposition in this case that what is critical here is the
- 8 | placement of the note, didn't you?
- 9 A. I don't recall saying that. What is the --
- 10 | Q. Why don't we put it up?
- 11 A. What is the statement again, please?
- 12 | Q. We'll put it up on the screen.
- MS. FARKAS: It's the deposition testimony. I think
- 14 | it's 187.
- 15 | Q. So referring you to your deposition testimony in this case
- 16 where you said, What is really critical here is the placement
- 17 of the note. The placement of the note on the end of one, and
- 18 | that it is a note that is sustained.
- 19 Do you see that?
- 20 Do you remember that testimony?
- 21 A. Not exactly.
- 22 | Q. Well, do you agree that, when thinking about and discussing
- 23 | melodies, that the placement of the note matters?
- 24 | A. It matters, but I don't know what the context is here.
- 25 | could be talking about a specific instance where it is really

- 1 critical in terms of, um, the analysis of the similarity.
- 2 Q. OK.
- 3 A. It doesn't mean that it is always, um, what do I say --
- 4 | that it's always really critical.
- 5 Q. So, generally speaking, duration and metric placement are
- 6 | both essential when you're analyzing melodies, correct?
- 7 A. One factor along with pitch sequence and other, yes.
- 8 0. Yes.
- 9 A. It's a factor that definitely needs to be considered,
- 10 um-hmm.
- 11 | Q. And, of course, doing so accurately is also important,
- 12 | wouldn't you agree?
- 13 | A. Yes.
- MS. FARKAS: Your Honor, this is a good breaking
- 15 point. I can go on for another ten minutes, but in terms of
- 16 | just subject matter, it's, I think, a convenient point if it
- 17 works for the court.
- 18 THE COURT: If you would prefer to stop now, I think
- 19 | it's fair. We'll resume with the usual 11 tomorrow. Keep an
- 20 | open mind. You have a lot to hear still, and something you
- 21 | hear near the end may change your understanding. Don't talk
- 22 | with anybody, even each other, about the case. Stay well and
- 23 | I'll see you tomorrow morning.
- 24 Good night. Have a good evening.
- 25 (Adjourned to Thursday, April 27, 2023, at 11:00 a.m.)

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6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	4	Direct	
7         8         9         10         11         12         13         14         15         16         17         18         19         20         21         22         23         24	5	Cross By Ms. Farkas	
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